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## Note

Optimal quantitative group testing on cycles and paths<sup>☆</sup>F.K. Hwang<sup>a</sup> J.S. Lee<sup>b,\*</sup><sup>a</sup>*Department of Applied Mathematics, National Chiao-tung University, Hsin-Chu 300, Taiwan, ROC*<sup>b</sup>*Department of Mathematics, National Kaohsiung Normal University, Kaohsiung 802, Taiwan, ROC*

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**Abstract**

We determine the minimum number of group tests required to search for a special edge when the graph consists of cycles and paths, generalizing previous results of Aigner on paths and on a simple cycle. © 2001 Elsevier Science B.V. All rights reserved.

*Keywords:* Group testing; Search; Graph

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**1. Introduction**

Suppose that we have a set of items containing exactly two defective ones. The problem is to identify them through quantitative group testing [2]. Any subset  $S$  of items can be tested, and the feedback  $f(S)$  reveals the number of defectives in  $S$ , i.e.  $f(S) = 0, 1$  or  $2$ . There are constraints on which pairs of items can be the defective pair, and the constraints can be represented by a graph where the vertex-set is the set of items, and the edge-set is the set of allowed pairs. Thus, the problem can also be viewed as searching for a special edge on a graph  $G(V, E)$ .

Suppose  $|E| = n$ . Since each test has three possible feedbacks,  $\lceil \log_3 n \rceil$  is the information lower bound on the number of tests required. Aigner [1] proved

**Theorem 1.** *If  $G$  consists of paths, then  $\lceil \log_3 n \rceil$  tests suffice.*

**Theorem 2.** *If  $G$  is a cycle and  $n < 3^t$ , then  $t$  tests suffice. If  $n = 3^t$ , then  $t + 1$  tests suffice.*

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In this paper we consider the case that  $G$  consists of any number of cycles and paths. We give the minimum number of tests required for such  $G$ .

## 2. Optimal testing

We first prove an upper bound.

**Theorem 3.** *Suppose  $G$  consists of cycles and paths. Then  $1 + \lceil \log_3 n \rceil$  tests suffice.*

**Proof.** If  $G$  contain no cycles, then Theorem 3 follows from Theorem 1. If  $G$  has  $m$  cycles  $C_1, C_2, \dots, C_m$ , test  $S = \{v_1, v_2, \dots, v_m\}$ , where  $v_i$  is an arbitrary vertex on  $C_i$ . Suppose  $f(S) = 0$ . Then the two edges incident to  $v_i$  on  $C_i$  cannot be special for each  $i = 1, 2, \dots, m$ . Therefore  $C_i$  is reduced to a path. By Theorem 1,  $\lceil \log_3 n \rceil$  more tests suffice. Suppose  $f(S) = 1$ , then the special edge must be an edge incident to one of the  $v_i$ . Again, each  $C_i$  is reduced to a path of two edges and Theorem 1 applies. The proof is completed by noting that  $f(S)$  cannot be 2 since no edge can be incident to two vertices in  $S$ .  $\square$

Consider a test  $S$  on a graph  $G$ . An edge  $(u, v)$  will be called an  $S_i$ -edge,  $i = 0, 1, 2$  if  $|\{u, v\} \cap S| = 0, 1, 2$ , respectively. Let  $G_0, G_1, G_2$  be the partition of  $G$  according to the three feedbacks of  $S$ . Then  $G_i = \{S_i\text{-edge}\}$  for  $i = 0, 1, 2$ . A cycle (path) will be called a *mixed cycle (path)* if it contain an  $S_1$ -edge. Otherwise it is called a *pure cycle (path)*, or an  $S_0$  ( $S_2$ )-cycle if we want to be more specific. We also refer to an edge as *pure* if it is either  $S_0$  or  $S_2$ .

**Lemma 4.** *Let  $i$  and  $j$  satisfy the conditions  $i \geq 0$ ,  $j \geq 0$  and  $i + 2j \leq k$ , except when  $j = 0$ , then  $i$  is 0 or  $k$ . Then there exists a test  $S$  on a  $k$ -cycle  $C$  such that  $|S_0| = i$ ,  $|S_1| = 2j$  and  $|S_2| = k - i - 2j$ .*

**Proof.** If  $j=0$ , then either  $S \cap C = C$  or  $S \cap C = \emptyset$ . Otherwise, assign arbitrary  $k - i - 2j + 1$  consecutive vertices to  $S$ , and assign the next  $i + 1$  consecutive vertices to  $\bar{S}$  (not in  $S$ ). The remaining vertices are assigned  $S$  or  $\bar{S}$  such that  $S$  and  $\bar{S}$  alternate.  $\square$

**Lemma 5.** *Consider a set  $P$  of paths with  $k$  total edges. Let  $i$  and  $j$  satisfy the conditions  $i \geq 0$ ,  $j \geq 1$  and  $i + j \leq k$ . Then there exists a test  $S$  on  $P$  such that  $|S_0| = i$ ,  $|S_1| = j$  and  $|S_2| = k - i - j$ .*

**Proof.** We order the paths such that the  $k$  edges (hence all vertices) are linearly ordered. Assign the first  $k - i - j$  edges to  $S_2$ , meaning their vertices are all in  $S$ . Assign the next  $j$  edges to  $S_1$ , if  $j$  is odd or  $i = 0$ . If  $j$  is even and  $i > 0$ , assign the next  $j - 1$  edges to  $S_1$ . Furthermore, if there is a change of path during this process, then the vertex starting the new path is in the same set,  $S$  or  $\bar{S}$ , as its preceding vertex. These rules assure that this process ends in an  $\bar{S}$ -vertex which will start the

final assignment of  $i$  edges in  $S_0$ , meaning all their vertices are in  $\bar{S}$ . For  $j$  even and  $i > 0$ , there is one edge left which will be assigned to  $S_1$ , meaning the last vertex is in  $\bar{S}$ .  $\square$

**Corollary 6.** *A partition  $(i, 0, k - i)$  is possible if and only if there exists a subset of paths with a total of  $i$  edges.*

Let  $M(G)$  denote the minimum number of tests required for  $G$ .

**Theorem 7.** *Let  $G$  consist only of cycles and paths with  $n$  edges in total, where  $3^{t-1} < n \leq 3^t$ . Then  $M(G) = t$  except*

- (i)  $G$  consists of cycles only and  $n = 3^t$ ,
  - (ii)  $t = 2$  and  $G$  contains two cycles,
  - (iii)  $t = 3$  and  $G$  contains seven cycles,
  - (iv)  $t = 4$  and  $G$  contains 26 cycles,
- and  $M(G) = t + 1$  in the four exception cases.

**Proof.** *Sufficiency:* The  $t \leq 2$  case is easily verified. We prove the general  $t \geq 3$  by induction. It suffices to prove that if  $G$  is not one of the exception cases, then there exists a test  $S$  where the three feedbacks partition  $G$  into  $G_0, G_1, G_2$  with  $n_0, n_1, n_2$  edges, where  $n \leq 3^{t-1}$  and  $G_i$  is not an exception case for  $i = 0, 1, 2$ .

Suppose  $G$  contains  $c$  cycles where  $c \leq 3^{t-1} - 1$ . We consider two cases:

- (1)  $c < (3^{t-1} - 1)/2$ . Assign  $S_1$ -edges such that the  $c$  cycles are all mixed. Suppose the  $c$  cycles contain  $n'$  edges. By Lemma 4 we can obtain at least  $2\lceil(n' - c)/2\rceil$   $S_1$ -edges. Assign  $\min\{2\lceil(n' - c)/2\rceil, 3^{t-1} - 1\} = 3^{t-1} - j$  edges to  $S_1$ , where  $j \geq 1$  is odd. Again by Lemma 4, the pure edges in the  $c$  cycles can be divided evenly into  $S_0$  and  $S_2$ . Since  $3^{t-1} - j \geq \lfloor n'/3 \rfloor$ , so  $3^{t-1} - j < \lfloor n/3 \rfloor$  implies the existence of paths with a total of more than  $j$  edges. By Lemma 5, we can obtain  $j$   $S_1$ -edges and divide the other edges evenly into  $S_0$  and  $S_2$ . Note that in the case  $3^{t-1} - j \geq \lfloor n/3 \rfloor$ , even though no  $S_1$ -edge is needed on the paths, some  $S_1$ -edges may be forced in the process of dividing the path edges evenly into  $S_0$  and  $S_2$ . By Lemma 5, at most 1  $S_1$ -edge needs to be forced. This is alright since  $3^{t-1} - j + 1 \leq 3^{t-1}$ .
- (2)  $c \geq (3^{t-1} - 1)/2$ . We will assign the  $(3^{t-1} - 1)/2$  largest cycles to be mixed each with two  $S_1$ -edges. Let  $p$  denote the largest size of the pure cycles. Then  $p \leq 5$  for otherwise the mixed cycles would have consumed  $3(3^{t-1} - 1) = 3^t - 3$  edges and there are not enough edges left for a pure  $p$ -cycle with  $p \geq 6$ . Let  $(e_0, e_2)$  be a division of edges into the  $S_0$  and  $S_2$  type through assigning the pure cycles into  $G_0$  or  $G_2$ . Then there is a division with  $|e_0 - e_2| \leq 5$ . For  $t \geq 3$ , there are at least four mixed cycles with 12 pure edges on them. By Lemmas 4 and 5, we can divide these pure edges as well as the pure edges on paths (if any) arbitrarily, i.e. the  $n - 3^{t-1}$  ( $n - (3^{t-1} - 1)$  if no paths exist) pure edges can be divided evenly into  $G_0$  and  $G_2$ . Therefore  $n_i \leq 3^{t-1}$  for  $i = 0, 1, 2$ . Furthermore, the number of cycles in  $G_0$  or  $G_2$  is at most

$$\left\lceil \frac{3^{t-1} - 1 - (3^{t-1} - 1)/2}{2} \right\rceil < 3^{t-2} - 1 \quad \text{for } t \geq 5,$$

$$\left\lceil \frac{25 - (3^3 - 1)/2}{2} \right\rceil = 6 \quad \text{for } t = 4,$$

$$\left\lceil \frac{6 - (3^2 - 1)/2}{2} \right\rceil = 1 \quad \text{for } t = 3.$$

Hence they are not exception cases.

That  $t + 1$  tests suffice for the exception cases follow from Theorem 3.

*Necessity:* That  $t$  tests are necessary for the nonexception case follows from the information lower bound. We now prove that the exception cases cannot be done in  $t$  tests.

- (i) Since the number of  $S_1$ -edges on a cycle must be even, there is no way to partition  $3^t$  edges on cycles into  $3^{t-1}$ ,  $3^{t-1}$  and  $3^{t-1}$ .
- (ii) Suppose  $G$  contains two cycles. Then the number of  $S_1$ -edges on these two cycles must be 2 (it must be even). That means one of the two cycles, of size  $k$ , is pure. If  $k > 3$ , then one more test cannot do it by information lower bound. If  $k = 3$ , then again one more test cannot do it since it is the exception case (i).
- (iii) Suppose  $G$  contains seven cycles. Since at most  $(3^{3-1} - 1)/2 = 4$  cycles can be mixed, there are at least three pure cycles. Without loss of generality, assume there are two  $S_0$ -cycles. Then  $G_0$  contains two cycles and is the exception case (ii), hence it cannot be done in two more tests.
- (iv) Suppose  $G$  contains 26 cycles. Since at most  $(3^{4-1} - 1)/2 = 13$  cycles can be mixed, there are at least thirteen pure cycles. Without loss of generality, assume there are seven  $S_0$ -cycles. Then  $G_0$  contains seven cycles and is the exception case (iii), hence it cannot be done in three more tests.  $\square$

## References

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